

BNK CAPITAL MARKETS LIMITED

CIN: L34202WB1986PLC040542

Regd. Office: Duncan House, 31, Netaji Subhas Road, Kolkata – 700 001

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Date: 08th October, 2021

The Secretary
The Calcutta Stock Exchange Ltd.
7, Lyons Range
Kolkata - 700 001
Script Code: 10012048

Dear Sir,

Sub: Submission of Quarterly & Half Yearly Compliance Report on Corporate Governance as per Regulation 27(2) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015.

Please find enclosed herewith the Quarterly & Half Yearly Compliance Report on Corporate Governance as per Regulation 27(2) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 in Annexure I & Annexure III.

Kindly make note of the same.

Thanking you,

Yours faithfully,
For BNK Capital Markets Ltd.

Ankita Poddar

Ankita Poddar
Company Secretary & Compliance Officer
Membership No.- ACS53978

Format of report on Corporate Governance to be submitted by a listed entity on quarterly basis

1. Name of Listed Entity: BNK Capital Markets Limited
2. Quarter Ending: 30th September, 2021

I. Composition of Board of Directors											
Title (Mr./Ms.)	Name of the Director	PAN No.\$ & DIN	Category (Chairperson/Executive/Non-Executive/Independent/Nominee) &	Initial Date of Appointment	Date of Re-Appointment	Date of Cessation	Tenure*	No. of Directorship in Listed entities including this listed entity [in reference to Regulation 17A(1)]	No. of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]	Number of memberships in Audit/Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of the Listing Regulations)	No. of post of Chairperson in Audit/Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of the Listing Regulations)
Mr.	Ajit Khandelwal	AKSPK7801K & 00416445	Executive-Chairperson	17.04.1986	01.04.2019	23.08.2021	3 years	1	1	2	2
Mr.	Sanjeev Kumar Khandelwal	AFCPK2361R & 00419799	Executive Non-Independent	27.06.1995	19.09.2020	23.08.2021	N.A.	0	0	0	0
Mr.	Ankit Khandelwal	AJRPK6515E & 03057891	Non-Executive Non Independent Director	30.01.2015	26.08.2017	23.08.2021	N.A.	0	0	0	0
Ms.	Heena Gorsia	ADZPG6937A & 07060485	Non-Executive-Independent	30.01.2015	19.09.2020		5 years	1	1	1	0
Mr.	Dipendra Nath Ch	AAGPC1584P & 07945181	Non-Executive-Independent	16.10.2017	16.10.2017		5 years	1	1	2	1
Mr.	Murari Lal Khetan	AFZPK0294A & 00009187	Non-Executive-Independent	08.05.2019	08.05.2019	23.08.2021	5 years	0	0	0	0
Mr	Harish Toshniwal	ABPPT9093A & 00060722	Non-Executive Non Independent Director	23.08.2021	NA			1	0	2	1
Mr	Sunil Kumar Sang	ALDPS1030J & 03568648	Non-Executive Non Independent Director	23.08.2021	NA			1	0	2	1
Mr	Sunil Bhandari	AADPB2665H & 00052161	Non-Executive Non Independent Director	23.08.2021	NA			2	0	3	0
Ms.	Rusha Mitra	BVSPS9263D & 08402204	Non-Executive-Independent	23.08.2021	NA			7	7	5	2
		Whether Regular chairperson appointed: YES									
		Whether Chairperson related to Managing Director or CEO: YES									
<p>\$ PAN Number of any director would not be displayed on the website of Stock Exchange.</p> <p>& Category of directors means executive/non-executive/independent/nominee. If a director fits into more than one category, write all categories separating them with hyphen.</p> <p>* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of Directors of the listed entity in continuity without any cooling off period.</p>											

II. Composition of Committees					
Name of Committee	Whether Regular chairperson appointed	Name of Committee Members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)&	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	Shri Murari Lal Khetan	Chairperson - Non Executive - Independent	08.05.2019	23.08.2021
		Shri Sanjeev Kumar Khandelwal	Member - Non Executive	19.09.2020	23.08.2021
		Ms. Heena Gorsia	Member - Non Executive - Independent	19.09.2020	
		Shri Dipendra Nath Chunder	Member - Non Executive - Independent	16.10.2017	
		Ms. Rusha Mitra	Chairperson - Non Executive - Independent	23.08.2021	
		Shri Sunil Kumar Sangneria	Member - Non Executive - Non Independent	23.08.2021	
2. Nomination & Remuneration Committee	Yes	Ms. Heena Gorsia	Chairperson - Non Executive - Independent	19.09.2020	
		Shri Murari Lal Khetan	Member - Non Executive - Independent	08.05.2019	23.08.2021
		Shri Ankit Khandelwal	Member - Non Executive	26.08.2017	23.08.2021
		Ms. Rusha Mitra	Member - Non Executive - Independent	23.08.2021	
		Shri Sunil Bhandari	Member - Non Executive - Non Independent	23.08.2021	
3. Stakeholders Relationship Committee	Yes	Shri Dipendra Nath Chunder	Chairperson - Non Executive - Independent	16.10.2017	
		Shri Ajit Khandelwal	Member - Executive	01.04.2019	23.08.2021
		Shri Murari Lal Khetan	Member - Non Executive - Independent	08.05.2019	23.08.2021
		Ms. Rusha Mitra	Member - Non Executive - Independent	23.08.2021	
		Shri Harish Toshniwal	Member - Non Executive - Non Independent	23.08.2021	
4. Corporate Social Responsibility Committee	Yes	Shri Sanjeev Kumar Khandelwal	Chairperson - Non Executive - Non Independent	19.09.2020	23.08.2021
		Shri Dipendra Nath Chunder	Member - Non Executive - Independent	16.10.2017	
		Ms. Heena Gorsia	Member - Non Executive - Independent	19.09.2020	
		Shri Sunil Kumar Sangneria	Chairperson - Non Executive - Non Independent	23.08.2021	

& Category of directors means executive/non-executive/independent/nominee. If a director fits into more than one category, write all categories seperating them with hyphen.

III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of Independent Directors present*	Maximum gap between any two consecutive (in number of days)
30.06.2021		Yes	3	2	
	11.08.2021	Yes	3	3	41
	23.08.2021	Yes	3	2	11

* to be filled in only for the current quarter meetings

IV. Meeting of Committees

Date(s) of Meeting of the Committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors present*	Number of Independent Directors present*	Date(s) of Meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
11.08.2021	Yes	1	3	30.06.2021	41

* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional

V. Related Party Transactions

Subject	Compliance Status (Yes/No/NA) refer note below
Whether prior approval of audit committee obtained	N.A.
Whether shareholder approval obtained for material RPT	N.A.
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by	N.A.

Note

- In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/NA. For
- If status is "No" details of non-compliance may be given here.

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	
a. Audit Committee	Yes
b. Nomination & Remuneration Committee	Yes
c. Stakeholders Relationship Committee	Yes
d. Risk Management Committee (applicable to top 100 listed entities)	N.A.
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015	
4. The meeting of board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015	
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	
6. Any comments/observations/advice of Board of Directors may be mentioned here:	

Ankita Poddar

Ankita Poddar

Company Secretary & Compliance Officer

Date: 08th October, 2021

Note:

Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed Entity and instead a statement "same as previous quarter" may be given.

ANNEXURE III to be submitted by listed entity at the end of six months after end of financial year along with second quarter report of the financial year

Name of the Listed Entity: *BNK Capital Markets Limited*
Period Ending: *1st April, 2021 to 30th September, 2021*

I. Affirmations		
Broad heading	Regulation Number	Compliance Status (Yes/No/NA) refer note below
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	NA
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	NA
Presence of Chairperson of Nomination and Remuneration Committee at the Annual General Meeting	19(3)	NA
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	NA
Note		
1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.		
2. If status is "No" details of non-compliance may be given here.		
3. If the Listed Entity would like to provide any other information, the same may be indicated here.		
<p><i>Ankita Poddar</i></p> <p>Ankita Poddar Company Secretary & Compliance Officer</p> <p>Date: 08th October, 2021</p>		